

# CRS – Individual Tax Residency Self-Certification Form

\* Please note that this customer guidance is for reference only and is not a substitute for CRS form.

For Joint Accounts, each account holder must complete a separate form. An Individual Tax Residency Self-Certification Form (CRS-I (HK)) must be completed correctly without any alterations.

If you make a mistake, please start over using a new form.

Do not use liquid paper or any other correctional tool.

All CRS Forms must be completed in English or Chinese.

## A Part 1: Identification of Individual Account Holder 第1部：個人賬戶持有人的身分證明資料

(For joint or multiple account holders, complete a separate form for each individual account holder.  
對於聯合賬戶或多個人聯名賬戶，每名個人賬戶持有人須分別填寫一份表格。)

Note 注意： Please tick where applicable. 請在適當的地方加上劃號。

1	Name of Account Holder 賬戶持有人的姓名	Title 職銜： <input type="checkbox"/> Mr 先生 <input type="checkbox"/> Mrs 太太 <input type="checkbox"/> Miss 小姐 <input type="checkbox"/> Ms 女士 <input type="checkbox"/> Other 其他	*Last Name or Surname 姓氏	*First or Given Name 名字	Middle Name(s) 中間名
2	Hong Kong Identity Card or Passport Number 香港身分證或護照號碼	Business Name (Sole Traders Only) 公司名稱 (只適用於獨資經營者)			
3	Current Residence Address 現時住址	(e.g. Suite, Floor, Building, Street, District 例如：室、樓層、大廈、街道、地區)			
		*City 城市			
		(e.g. Province, State 例如：省、州)			
		*Country/Region 國家/地區			
		Post Code/ZIP Code 郵政編碼/郵政區號碼			
4	Mailing Address 通訊地址 (Complete if different to the above current residence address 如通訊地址與上述現時住址不同，填寫此欄)	(e.g. Suite, Floor, Building, Street, District 例如：室、樓層、大廈、街道、地區)			
		City 城市			
		(e.g. Province, State 例如：省、州)			
		Country/Region 國家/地區			
		Post Code/ZIP Code 郵政編碼/郵政區號碼			
5	*Date of Birth 出生日期	dd/mm/yyyy 日/月/年			

Please read the instructions on Page 1 of the form to ensure you are completing the correct form.

## A. Part 1 Identification of Individual Account Holder

### 1. Name of Account Holder

Insert your name on your identity document, such as the name on your HKID or passport which is provided to the Bank.

### 2. Hong Kong Identity Card or Passport Number

Insert your HKID number or if you do not have a permanent HKID, please provide your Passport number.

### 3. Current Residence Address

Breakdown your full address in different fields as specified in the form.

DO NOT USE:

PO Box or C/O address

Address of a third party

Address at a Financial Institution

### 4. Mailing Address

Insert mailing address only if different from the Current Residence Address.

**Note:** Please fill in a full address and indicate the country/region.

### 5. Date of Birth

Write down your date of birth using dd/mm/yyyy format.



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**B**

## Part 2 第 2 部：

### \* Jurisdiction of Tax Residence and Taxpayer Identification Number or its Functional Equivalent ("TIN") 稅務管轄區及稅務編號或具有等同功能的識別編號（以下簡稱「稅務編號」）

Complete the following table indicating 提供以下資料，列明：

(a) each jurisdiction where the account holder is a resident for tax purposes; and

賬戶持有人作為稅務居民的司法管轄區；及

(b) the account holder's TIN for each jurisdiction indicated. 該稅務管轄區發給賬戶持有人的稅務編號。

If the account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number (HKID).

如賬戶持有人是香港稅務居民，稅務編號是賬戶持有人的香港身份證號碼。

If a TIN is unavailable, provide the appropriate reason A, B or C. 如沒有提供稅務編號，必須填寫合適的理由：

# Reason A - The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.

理由 A - 賬戶持有人所屬稅務管轄區並沒有向其居民發出稅務編號。

Reason B - The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason.

理由 B - 賬戶持有人不能取得稅務編號。如選取這一理由，解釋賬戶持有人不能取得稅務編號的原因。

Reason C - TIN is not required. Select this reason only if the authorities of the jurisdiction of tax residence do not require the TIN to be disclosed.

理由 C - 賬戶持有人毋須提供稅務編號。稅務管轄區的主管機關不需要賬戶持有人披露稅務編號。

Jurisdiction of Tax Residence 稅務管轄區	TIN 稅務編號	#Enter Reason A, B or C if no TIN is available 如沒有提供稅務編號， 填寫理由 A、B 或 C	Explain why the account holder is unable to obtain a TIN if you have selected Reason B 如選取理由 B，解釋賬戶持有人不能取得稅務編號的原因
(1)			
(2)			
(3)			
(4)			
(5)			

## Part 3: Declarations and Signature 第 3 部：聲明及簽署

**C**

I acknowledge and agree that: (a) the information contained in this form is collected and may be kept by The Hongkong and Shanghai Banking Corporation Limited (the "Bank") for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reported account(s) may be reported by the Bank to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction(s) in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112). 本人知悉及同意，香港上海滙豐銀行有限公司（「銀行」）可根據《稅務條例》（第 112 章）有關自動交換財務帳戶資料的法律規定，（a）收集及保存有關財務帳戶資料，及（b）把該等資料與關於賬戶持有人及任何申報賬戶的資料向香港稅務及財政部轉交，並與該等資料與關於賬戶持有人的稅務管轄區的主管機關交換。

I also agree that the information contained in this form may be shared to and used by any member of the HSBC Group (meaning HSBC Holdings plc, its affiliates, subsidiaries, associated entities and any of their branches and offices) for the purposes of automatic exchange of financial account information provided under the Inland Revenue Ordinance as set out above. 本人亦同意有關資料可與本集團成員（包括香港上海滙豐銀行有限公司、其附屬公司、子公司、聯屬公司及收購的實體）分享及被使用。

I certify that I am: the account holder for I am authorised to sign for the account holder(s) of all the account(s) currently held with the Bank by the individual identified in Part 1 of this form. 本人證明，我與本集團成員：現時是該個人與本集團成員的所有賬戶，本人是該個人（或本人是該個人的人授權代表簽署）。

I undertake to advise the Bank of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide the Bank with a suitably updated self-certification form within 30 days of such change in circumstances. 本人承諾，如情況有所改變，以致影響本表格第 1 部所述的個人的稅務居民身分，或引致本表格所載資料不正確，本人會通知銀行，並會在情況發生改變後 30 日內，向銀行提交一份已填妥更新的分自證明表格。

I declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete. 本人聲明在本表格內所填資料及所作出的聲明，均屬真實、正確及完整。

Signature 簽署

Capacity 身分

(Indicate the capacity if you are not the individual identified in Part 1. If signing under a power of attorney, attach a certified copy of the "power of attorney" document. 如不是本人，請填明身分。如簽名時是根據授權書，請附上經核實的授權書副本。)

X

Date (dd/mm/yyyy) 日期 (日/月/年):

Name 姓名

Account Number 戶口號碼

(Specify any one account number to which the above signature(s) can apply. 請註明任何一個使用以上簽署的戶口號碼。)

**WARNING: It is a serious offence under the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. Heavy penalty may apply upon conviction.**  
**警告：根據《稅務條例》，如任何人在作出自證聲明時，在明知一項陳述在實質上屬虛假、虛假或不正確，或罔顧一項陳述是否在實質上屬虛假、虛假或不正確下，作出該項陳述，即屬嚴重罪行。一經定罪，可處重罰。**

Individual Tax Residency Self-Certification Form (CRS-I (HK))  
個人稅務居民自證聲明表格 (CRS-I (HK))

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## B. Part 2 Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent ("TIN")

This number depends on which country you are tax resident. If you are the tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number (HKID). Please provide full HKID number including check digit (without the bracket) and the standard format is @123456#.

(a) @ represents any one or two capital letters of the alphabet.

(b) # is the check digit which has 11 possible values from 0 to 9 and A.

The OECD has provided some information on the acceptable numbers and format. You can refer to the following website <https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/>.

If you cannot provide a TIN, please indicate the reason A, B or C on the form. If you select reason B, please provide a written reason for not being able to provide the TIN. This must be written in the table on the form.

**Note:** In general, whether or not an individual is a tax resident of a jurisdiction (which is usually where you are liable to pay income tax) is determined by the person's physical presence or stay in a place. A bank may require further information from customers if it identifies customer information on file that indicates a connection with a new or different jurisdiction (e.g. the account holder being a tax resident of such jurisdiction or having an address, a hold mail or in-care-of address in such jurisdiction, or a power of attorney or signatory authority granted to a person whose address is in such jurisdiction).

For more information on tax residency, you may refer to the tax residency pages of the OECD CRS portal at <https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/>.

## C. Part 3 Declarations and Signature

You must be the individual identified in Part 1 (or authorised to sign on behalf of the individual identified in Part 1).

1. Please sign the form.
2. Please date the form using the dd/mm/yyyy format.
3. Please print your name on the line under the date.
4. Please indicate the capacity in which you are signing the form if you are not the individual identified in Part 1.